

## Clinical Research

**KEYWORDS:** Chronic Obstructive Pulmonary Disease (COPD), Acute exacerbation of COPD, Echocardiography, Pulmonary Artery Hypertension (PAH)

## A COMPARATIVE STUDY OF PRE AND POST TREATMENT ECHOCARDIOGRAPHIC FINDINGS IN ACUTE EXACERBATION OF COPD PATIENTS



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**Abstract**

COPD is currently the 4th leading cause of death in the world and is projected to increase in coming years and presents as serious global health problem all over the world. It is a preventable and treatable disease characterised by progressive airflow limitation. It has significant extra pulmonary effects amongst which cardiovascular involvement is most important. Pulmonary artery hypertension is common in COPD. This study was conducted to assess the changes in echocardiographic parameters in pre and post exacerbation of COPD. A total of 118 patients presenting as AECOPD were included in the study. A detailed clinical and laboratory evaluation was done along with echocardiography. These patients were followed up after 6 weeks for repeat echocardiography to assess the changes in echocardiographic parameters. Mean age of patients was  $68 \pm 11.12$  years, most of which belonged to rural areas. Majority of our patients belonged to GOLD 3 (52.5%) and GOLD 2 (31.4%) and group C (44.9%) and group D (52.5%) categories. During AE, 20.7% patients had mild PAH, 45.9% had moderate PAH and 33.3% had severe PAH and on follow up 64% of patients had mild PAH, 31.55% had moderate PAH and only 4.5% had severe PAH with an overall notable reduction in mean RVSP on follow up ( $p < 0.05$ ), signifying increase in PAH during AECOPD. We also found a direct correlation between severity of PAH and  $pCO_2$ . As per our results, we found mean  $pCO_2$  of 46.2 in mild PAH category, 47.4 in moderate PAH category and 51.3 in severe PAH category during acute exacerbation with an overall p value of 0.004. 85 patients (72%) in our study also had evidence of right ventricular failure during acute exacerbation. Cor pulmonale (evidence of RV dysfunction) was found in 72% ( $n=85$ ) of our patients. The study showed that COPD exacerbations have a negative impact on RV function and pulmonary artery pressures.

**INTRODUCTION**

Chronic Obstructive Pulmonary Disease (COPD) is a major cause of chronic morbidity and mortality throughout the world. It is currently the fourth leading cause of death in the world<sup>1</sup> but is projected to be the 3rd leading cause of death by 2020. COPD is defined as a disease state characterized by airflow limitation that is not fully reversible. COPD is a powerful and independent risk factor for cardiovascular morbidity and mortality which includes right ventricular (RV) dysfunction and cor pulmonale secondary to pulmonary arterial hypertension (PAH), left ventricular dysfunction. The presence of pulmonary hypertension (PH) and Cor pulmonale

increases mortality and predicts hospital readmission for exacerbations in patients with COPD<sup>2</sup>.

Pulmonary manifestations of COPD might be one aspect of expression of a systemic inflammation with several other organic manifestations. Cardiovascular disease accounts for approximately 50% of all hospitalization and nearly one third of all deaths, usually when forced expiratory volume in one second ( $FEV_1$ )  $< 50\%$  of predicted<sup>3</sup>. Pulmonary arterial hypertension develops late in the course of COPD with the development of hyperaemia ( $PaO_2 < 60$  mmHg) and hypercapnia. It is the major cardiovascular complication of COPD and is associated with the development of Right ventricular hypertrophy/dilatation (cor pulmonale) and with time to right heart failure and is associated with poor prognosis. 2 D echocardiography can be used to assess right ventricular dimensions, wall thickness and right ventricular volume overload in patients with COPD and also the presence of pulmonary artery hypertension<sup>4,5</sup>.

COPD exacerbation is defined as an acute worsening of respiratory symptoms that results in additional therapy<sup>6,7</sup>. These exacerbations are key drivers of morbidity and mortality. Pulmonary hypertension that is frequently seen in COPD patients, may drastically increase during exacerbation that may result in right ventricular (RV) dysfunction and systemic congestion by increasing RV afterload. These exacerbations impair RV function even when there is no clinical evidence of LV dysfunction.

Echocardiography provides a rapid, non-invasive portable and relatively accurate method to evaluate the right ventricle function, right ventricular filling pressure, tricuspid regurgitation, left ventricular function and valvular function. The present study was aimed at ascertaining changes in pulmonary artery hypertension before and after treatment of acute exacerbation of COPD.

**MATERIALS AND METHODS**

This study was conducted in the department of internal and pulmonary medicine over a period of two years from Sep 2018 to Sep 2020. All the patients of COPD admitted with Symptoms and/or Signs suggestive of acute exacerbation were selected as subjects. Total 118 patients were initially enrolled in the study, out of which 7 patients expired and remaining 111 patients were followed up after 6 weeks with repeat echocardiography.

**INCLUSION CRITERIA**

- Patients aged more than 40 years, males as well as females
- Patients who are diagnosed as cases of COPD
- Patients who present with symptoms and/or signs suggestive of

acute exacerbation

### EXCLUSION CRITERIA

- Patients aged less than 40 years
- Patients with congenital heart diseases and history of coronary artery diseases
- Patients with pulmonary pathologies like tuberculosis, bronchiectasis, interstitial lung diseases, pneumoconiosis
- Patients with psychiatric illness

Before commencement of the study, ethical clearance was sought from IEC and Informed consent was taken from the participants before collection of data on preformed proforma. Patients were categorised on the basis of severity of exacerbation into mild, moderate or severe. Cardiac evaluation was done during hospitalisation by cardiologist with echocardiography to assess parameters; valvular anatomy and function, right and left chamber size, ejection fraction, cardiac function and pulmonary artery pressure and other related parameters. Right ventricular systolic pressure was estimated based on the modified Bernoulli equation and was considered to be equal to the systolic pulmonary artery pressure (sPAP) in the absence of right ventricular outflow obstruction:  $sPAP \text{ (mmHg)} = \text{right ventricular systolic pressure (RVSP)} = \text{trans-tricuspid pressure gradient (TTPG)} + \text{right atrial pressure (RAP)}$ , where trans-tricuspid gradient is  $4V2$  ( $V = \text{peak velocity of tricuspid regurgitation, m/s}$ ) 8,9,10. RAP was estimated to be 5, 10, or 15 mmHg based on the variation in the size of inferior vena cava with inspiration, complete collapse:  $RAP = 5 \text{ mmHg}$ ; partial collapse:  $RAP = 10 \text{ mmHg}$ ; and no collapse:  $RAP = 15 \text{ mmHg}$ <sup>11</sup>. Parameters were defined as:

- Pulmonary artery hypertension (PAH) was defined as Resting PAP  $\geq 30 \text{ mmHg}$  and was further classified into mild, moderate and severe category as resting PAP 30-50, 50-70,  $>70 \text{ mmHg}$ , respectively<sup>12,13</sup>.
- RVH or cor pulmonale was defined if right ventricular free wall thickness exceeded 0.4 cm.
- RV failure : Tricuspid regurgitation and dilatation of IVC and hepatic veins
- E/A = Diastolic filling of left ventricles usually classified on the basis of the peak mitral flow velocity of the early rapid filling wave (E) and peak velocity of the late filling wave caused by atrial contraction (A). In normal subjects, LV elastic recoil is vigorous because of normal myocardial relaxation, therefore more filling is completed during early diastolic, so LV diastolic dysfunction (LVDD) is said to be present when E/A is  $<1.3$  (age group 40-49 years),  $<1.2$  (age group 50-59 years),  $<1.0$  (age group 60-69 years), and  $<0.8$  (age group  $\geq 70$  years)<sup>14</sup>.
- The presence of RV dilation, RVH, or RV failure is taken as evidence of cor pulmonale<sup>15</sup>.

Patients enrolled in the study were managed according to standard GOLD guidelines. After settling of event of exacerbation, these patients were followed with repeat echocardiography after 6 weeks to reassess any change in cardiac status and pulmonary artery pressure.

### Statistical Analysis

The recorded data was compiled and entered in a spreadsheet (Microsoft Excel) and then exported to data editor of SPSS Version 20.0 (SPSS Inc., Chicago, Illinois, USA). Continuous variables were expressed as Mean  $\pm$ SD and categorical variables were summarized as frequencies and percentages. Graphically the data was presented by bar and pie diagrams. Pre and post treatment comparison of continuous and categorical variables was done by employing paired t-test and McNemar chi-square test respectively. Chi-square test was applied for assessing correlation between severity of PAH and GOLD staging. A p-value of less than 0.05 was considered statistically significant. All p-values were two tailed.

### Results

A total of 118 patients were enrolled in our study over a period of 2

years, of which 111 patients were followed up for repeat echocardiography and 7 patients expired during study. The mean age of our study patients was  $68.5 \pm 11.12$  years (40-92) with male population of 46.6% and female population of 53.4%. Most of the patients in our study population were residents of rural areas (91 patients, 77.1%). Other clinical characteristics of our study population are depicted in figure 1, 2 and 3.

### Echocardiographic parameters:

In our study (Table 1), there was a direct correlation between severity of PAH and PCO<sub>2</sub>. As per our results, the mean pCO<sub>2</sub> of 46.2 in mild PAH category, 47.4 in moderate PAH category and 51.3 in severe PAH category during acute exacerbation with an overall p value of 0.004.

With regard to severity of PAH, out of total 118 patients enrolled initially for echocardiography during acute exacerbation, 24 patients (20.33%) were found to have mild PAH with a mean RVSP of 41.4 mmHg, 54 patients (45.76%) had moderate PAH with mean RVSP of 56 mmHg and rest of 40 patients (33.9%) had severe PAH with mean RVSP of 75.1 mmHg. All COPD patients on reassessment echo after 6 weeks had changes as (Table 2 & 5): 24 patients (20.33%) were in mild PAH category initially and on follow up echo these patients were still in mild PAH category but with decrease in mean RVSP (n=23, 19.5%) and 1 patient among this category expired. 53 patients (44.91%) were in moderate PAH category initially and on follow up echo, only 3 patients (2.54%) were in moderate category, 48 patients (40.6%) were in mild PAH category and 2 patients (1.69%) had expired among this group. 41 patients (34.74%) were initially in severe PAH category and on follow up echo, only 5 patients (4.23%) were in severe PAH category, 32 patients (27.1%) were in moderate PAH group and 4 patients (3.38%) had expired among this group. So on follow up, we had total of 71 patients (64%) with mild PAH, 35 patients (31.5%) with moderate PAH and 5 patients (4.5%) with severe PAH with a p value of  $< 0.001$ . The mean RVSP of mild PAH category during exacerbation was 41.4 mmHg (SD 3.12) and on follow up mean RVSP of 39.6 mmHg (SD 3.37) with a difference of 1.8 and p value of 0.007. In moderate PAH category mean RVSP during exacerbation was 56.5 mmHg (SD 4.09) and on follow up with mean RVSP of 42.7 mmHg (SD 3.36) and the analysis showed reduction of RVSP by 13.8 mmHg with p value of  $< 0.001$  along with improvement in quality of life and symptoms. In severe PAH Category mean RVSP during exacerbation was 75.1 mmHg (SD 5.21) and on follow up mean RVSP of 56.8 mmHg (SD 6.65) with reduction of RVSP by 18.3 mmHg and a p value of  $< 0.001$ . The overall mean RVSP pre and post exacerbation were 59.6 mmHg (SD 13.15) and 46.7 mmHg (SD 8.57) respectively with an overall reduction of RVSP of 12.9 mmHg and a p value of  $< 0.001$  (Table 2).

This initial PAH of patients was correlated with severity of airflow limitation in terms of GOLD staging. Among these patients, in mild PAH category, 12 patients (50%) were of GOLD 2, in moderate PAH Category 33 patients (61.1%) were of GOLD 3 and in severe PAH Category 22 patients (55%) were of GOLD 4 with a p value of  $< 0.001$  (statistically significant) and Chi square of 42.743, signifies the strong correlation between severity of airflow limitation and severity of PAH (Table 4).

We also documented left ventricular diastolic dysfunction (LVDD) in our study subjects (Table 6). In moderate PAH category, 12 patients (10.8%) were found to have LVDD, in severe PAH category, 21 patients (18.9%) had LVDD during exacerbation. On follow up only 3 patients (2.7%) had LVDD in moderate PAH category with a p value of 0.012 and in 17 patients (15.3%) in severe PAH category with a p value of 0.352. Overall a total of 33 patients (29.7%) had LVDD during exacerbation and on follow up only 20 patients (18%) had LVDD with a p value of 0.041.

**Table 1: Correlation of pCO<sub>2</sub> with severity of PAH**

PAH STATUS	PCO <sub>2</sub>		P-value
	MEAN	SD	
MILD PAH	46.2	5.96	0.004

MODERATE PAH	47.4	6.64	
SEVERE PAH	51.3	6.02	

Table 2: Pre and Post Treatment PASP (mmHg)

PAH Status	Pre treatment		Post treatment		Difference	P-value
	Mean	SD	Mean	SD		
Mild PAH	41.4	3.12	39.6	3.37	1.8	0.007*
Moderate PAH	56.5	4.09	42.7	3.36	13.8	<0.001*
Severe PAH	75.1	5.21	56.8	6.65	18.3	<0.001*
Overall	59.6	13.15	46.7	8.57	12.9	<0.001*

Table 3: Pre and Post Treatment Change of Severity Category of PAH

	PRE TREATMENT NO.	%	POST TREATMENT							
			MILD		MODERATE		SEVERE		EXPIRED/NOT FOLLOWED UP	
			NO.	%	NO.	%	NO.	%	NO.	%
MILD	24	20.33	23	19.5	0	0	0	0	1	0.84
MODERATE	53	44.91	48	40.6	3	2.54	0	0	2	1.69
SEVERE	41	34.74	0	0	32	27.1	5	4.23	4	3.38
TOTAL	118	100	71	60.1	35	29.66	5	4.23	7	5.93
118(100%) = (111/94% Followed UP + 7/6% Expired/ Not followed UP)										

Table 4: Correlation between Severity of PAH and GOLD Staging

GOLD Staging	Mild PAH		Moderate PAH		Severe PAH	
	No.	%age	No.	%age	No.	%age
GOLD 1	3	12.5	3	5.6	0	0.0
GOLD 2	12	50.0	14	25.9	7	17.5
GOLD 3	7	29.2	33	61.1	11	27.5
GOLD 4	2	8.3	4	7.4	22	55.0
Total	24	100	54	100	40	100
Chi-square=42.743; P-value<0.001 (Statistically Significant)						

Table 5: Pre and Post Treatment Severity of PAH

Severity of PAH	Pre treatment		Post treatment		P-value
	No.	%age	No.	%age	
Mild	23	20.7	71	64.0	<0.001*
Moderate	51	45.9	35	31.5	
Severe	37	33.3	5	4.5	
Total	111	100	111	100	

Table 6: Pre and Post Treatment LVDD

Severity of PAH	Pre treatment		Post treatment		P-value
	No.	%age	No.	%age	
Mild	0	0.0	0	0.0	-
Moderate	12	10.8	3	2.7	0.012*
Severe	21	18.9	17	15.3	0.352
Overall	33	29.7	20	18.0	0.041*

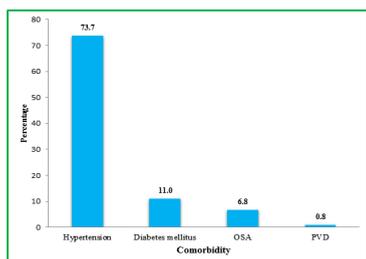


Figure 1: Comorbidities of study patients.

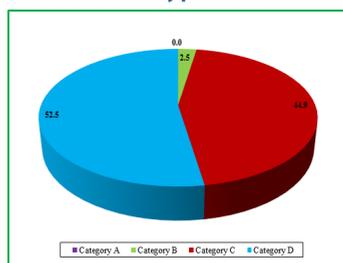


Figure 2: Distribution as Per Risk of Exacerbation

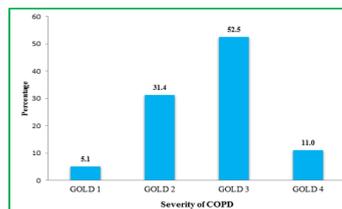


Figure 3: Severity of COPD (Gold Stages)

Discussion

COPD is a multicomponent disease characterized by an inflammatory response of the lungs to noxious particles, and extra pulmonary effects, including cardiovascular system abnormalities that contribute to disease severity<sup>16-19</sup>. The spectrum of cardiovascular disease includes right ventricular (RV) dysfunction, pulmonary hypertension (PH), coronary artery disease (CAD), and arrhythmias<sup>20</sup>. Pulmonary vascular disease associated with COPD increases morbidity and worsens survival<sup>2,21,22-26</sup>. Hypoxia plays a role in the pathogenesis of PH in COPD by inducing vascular remodelling. Hypoxic vasoconstriction may become increasingly significant during exercise, exacerbation due to decreased mixed venous partial pressure of oxygen. Hypoxic pulmonary vasoconstriction reduces blood flow in small pulmonary arteries, arterioles, capillaries, and venules. In addition, acute hypoxia causes increase in pulmonary vascular resistance reaching a peak in 2 hours. After a period of several hours of hypoxia, the response to acute hypoxia is enhanced, and there is further increase in PVR<sup>27</sup>.

Our study clearly documents increased pulmonary pressures during exacerbation and improvement in the same on follow up and highlights the negative impact of acute COPD exacerbations on RV function and pulmonary hypertension which in turn has a negative impact on the symptoms, functional capacity, prognosis, morbidity, and mortality rates of COPD patients. There are only few studies on comparison of echocardiographic finding during and after exacerbation of COPD. Our results are in consistent with another study conducted by Muthukumaran et al in 2016<sup>28</sup>, wherein they found similar rates of reduction in mean RVSP of patients during and after COPD exacerbation in all three groups of mild, moderate and severe PAH category patients. Ozben BE et al<sup>29</sup> conducted a study in 2015 on impairment of right ventricular function during acute exacerbation of COPD and reported that during exacerbation periods, COPD patients had significantly increased pulmonary artery systolic pressure measures, which might cause increased RV afterload and impair RV function.. Recently, Akcay et al<sup>30</sup> conducted a similar study and reported that treatment of patients with acute COPD exacerbation according to guidelines improved not only pulmonary function, but also RV function and PAH.

As discussed in our results, we found severity of PAH to be directly correlated with severity of airflow limitation. Muthukumaran et al<sup>28</sup> conducted a similar study and observed that 51.13% among their mild PAH category were GOLD 3, in moderate PAH category 64.70% were of GOLD 4 and in severe PAH category all of their patients belonged to GOLD 4 stage. Similar studies conducted by Chaouat A et al<sup>31</sup> and Scharf SM et al<sup>32</sup> which also showed severity of pulmonary

hypertension directly proportional to severity of airflow limitation. Acute exacerbation periods may impair RV function in COPD patients, even when there is no clinical evidence of RV dysfunction. RV dysfunction has a negative impact on the symptoms, functional capacity, prognosis, morbidity, and mortality rates of COPD patients. The prevalence of RV failure secondary to COPD is estimated to be 10-30%<sup>32</sup>. There is evidence that RV dysfunction is present in the early stages of the disease, even before systemic venous congestion develops. It is important to identify patients with RV dysfunction, as it further worsens the prognosis of COPD patients who are already suffering from ventilator insufficiency<sup>21,33,34</sup>. We found Cor pulmonale (evidence of RV dysfunction) in 72% of our patients. Burgess et al<sup>21</sup> showed that RV diameter was an independent predictor of survival in COPD patients, and found that the COPD patients had a larger RV during acute exacerbation compared to measures obtained after recovery.

Acute COPD exacerbation is characterized by the release of inflammatory cytokines. This cytokine burden is known to affect cardiac function<sup>35-39</sup>. There is worsening of right ventricular systolic as well as diastolic functions and increase in PAH during exacerbations. Elevation of systolic pulmonary artery pressure induces a dilatation of the right heart chambers, which shift the interventricular septum and decreases left ventricular filling. The result is a restriction in early LV filling and a prolonged isovolumetric relaxation time<sup>40</sup>. Ackay et al<sup>30</sup> found that systolic function of the RV improved and that pulmonary artery pressures decreased after treatment. RV and LV functions during diastole were also improved after the therapy but did not reach the level of the control group. Mahmoud et al<sup>41</sup> studied the assessment of Left Ventricular function in COPD patients. They grouped their study patients into 2 categories, group 1 included patients with AECOPD with evidence of Right Ventricular Failure and another group 2 included patients with AECOPD without evidence of Right Ventricular Failure. In their study, 77% of group 1 patients had LVDD and in group 2, 50% patients had LVDD.

## Conclusion

COPD is one of the leading cause of morbidity and mortality worldwide. Cardiovascular diseases is recognised as one of the important predictor of in hospital and long term mortality following AECOPD. COPD exacerbations have a negative impact on RV function and Pulmonary artery pressures. Echocardiography is a simple tool for evaluation of cardiac functions in patients with COPD during acute exacerbation as well on follow up to know their actual PAP and thus help in identifying individuals likely to suffer increased mortality and morbidity warranting close monitoring and intense treatment.

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